

2661



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-873)

In the Application of: )  
 )  
David Willming et al. )  
 )  
Serial No. 10/075,926 )  
 )  
Filed: February 14, 2002 )  
 )  
For: System and Method for Automatic Digital )  
Certificate Installation on a Network Device )  
In a Data-Over-Cable System )

Before the Examiner: Not Assigned  
  
Group Art Unit: 2661

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TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Information Disclosure Statement Letter;
  - b) Form PTO-1449;
  - c) 149 Cited References; and
  - c) Postcard.
2. With respect to fees:
  - a) No fee is required.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 3<sup>rd</sup> day of July, 2002.

By: Monika Dudek  
Monika Dudek  
Reg. No. 47,538



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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

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Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff**

Date: July 3, 2002

By: Monika Dudek  
Monika Dudek  
Registration No. 47,538

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

01-873

Serial No.

10/075,926

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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|                  | 2.  | 5,608,446       | 03-04-97 | Carr et al.         | 348   | 6        | 05-09-95                   |
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FORM PTO-1449  
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|                  | 32. | 5,623,601       | 04-22-97 | Vu                   | 395   | 187.01   | 11-21-94                   |
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|                  | 35. | 6,018,767       | 01-25-00 | Fijolek et al.       | 709   | 218      | 02-24-98                   |
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|                  | 41. | 6,009,103       | 12-28-99 | Woundy               | 370   | 401      | 12-23-97                   |
|                  | 42. | 5,974,453       | 10-26-99 | Anderson et al.      | 709   | 220      | 10-08-97                   |
|                  | 43. | 5,812,819       | 09-22-98 | Rodwin et al.        | 395   | 500      | 06-05-95                   |
|                  | 44. | 6,049,826       | 04-11-00 | Beser                | 709   | 222      | 02-04-98                   |

FORM PTO-1449  
(Rev. 2-32)

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STATEMENT BY APPLICANT

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Applicant:

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February 14, 2002

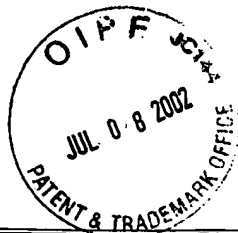
Group:

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|                  | 47. | 4,881,263       | 11-14-89 | Herbison et al.      | 380   | 21       | 09-25-87                   |
|                  | 48. | 5,790,806       | 08-04-98 | Koperda              | 395   | 200.82   | 04-03-96                   |
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|                  | 50. | 6,075,787       | 06-13-00 | Bobbeck et al.       | 370   | 395      | 05-08-97                   |
|                  | 51. | 6,049,546       | 04-11-00 | Ramakrishnan         | 370   | 412      | 10-15-96                   |
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|   | Applicant:<br>David Willming et al.                        |                                |                              |
| Filing Date:<br>February 14, 2002   |  | Group:<br>2661                 |                              |

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